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#5

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/429,758

10/28/99

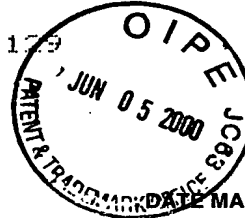
ADAMS

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ARIB-P0110-USA

LIMBACH & LIMBACH LLP  
ATTN: GEORGE C. LIMBACH  
2001 FERRY BUILDING  
SAN FRANCISCO CA 94111

0242/1179



NOT ASSIGNED

2771

11/29/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

1/29/00

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS** FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

L. Lyson  
A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

06/07/2000 STEFERRA 00000057 09429758 130.00 DP 01 FC:105



Atty. Dkt. ARIB-P0110-USA

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commission for Patents, Attn: Box Missing Parts, Washington, D.C. 20231 on May 30, 2000  
Limbach & Limbach L.L.P.

Dated: 5/30/00 By: *George C. Limbach*

In re Patent Application of: ) GROUP ART UNIT: 2771  
ADAMS ET AL. )  
 ) EXAMINER: Not Assigned  
 )  
Appl. No.: 09/429,758 ) RESPONSE TO NOTICE TO FILE  
 ) MISSING PARTS OF APPLICATION  
Filed: 10/28/1999 )  
 )  
For: OPERATING RESOURCE )  
MANAGEMENT SYSTEM )  
 ) 2001 Ferry Building  
 ) San Francisco, CA 94111  
 ) (415) 433-4150

Assistant Commissioner for Patents  
ATTENTION: BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts of Application mailed  
November 29, 1999 (copy enclosed), applicant submits the following documentation:

- (1) Declaration of inventors;
- (2) Copy of Form PTO-1533 (missing parts);
- (3) Request for 4 month extension of time; and
- (4) check \$1,490.00

The Commissioner is hereby authorized to charge payment of any other fees  
which may be required by this filing, or credit any overpayment, to Deposit Account  
No. 12-1420. **A DUPLICATE COPY OF THIS PAGE IS ENCLOSED FOR THIS  
PURPOSE.**

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

Dated: May 30, 2000

By: *G. C. Limbach*  
George C. Limbach  
Registration No. 19,305

(Attorneys for Applicant)